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PTO/SB/21 (09-04)

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## TRANSMITTAL FORM

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Total Number of Pages in This Submission

7

Application Number

09/783,146

Filing Date

February 13, 2001

First Named Inventor

Marc VanHeyningen

Art Unit

2134

Examiner Name

Christopher J. Brown

Attorney Docket Number

PA4405US

### ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/  
Incomplete Application

☐

Reply to Missing Parts  
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a  
Provisional Application

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Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

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Request for Refund

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CD, Number of CD(s) \_\_\_\_\_

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Landscape Table on CD

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After Allowance Communication to TC

☐

Appeal Communication to Board  
of Appeals and Interferences

☐

Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify  
below):

- 1) Confirmation postcard;
- 2) Duplicate of PTO/SB/08 (1pp x2);
- 3) Duplicate of IDS (2pp x2).

Remarks

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Carr & Ferrell LLP

Cust. No. 22830

Signature

Printed name

Tam Thanh Pham

Date

September 7, 2007

Reg. No.

50,565

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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Marc VanHeyningen et al.  
APPLICATION NO.: 09/783,146  
FILED: February 13, 2001  
TITLE: Method and Apparatus for Providing Secure Streaming Data  
Transmission Facilities Using Unreliable Protocols  
EXAMINER: Christopher J. Brown  
GROUP ART UNIT: 2134  
ATTY.DKT.NO.: PA4405US

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08A, listing several references.


This statement is filed subject to 37 CFR 1.97(d) in that it being filed after the period specified in paragraph (c), before payment of the issue fee and is accompanied by the statement specified in paragraph (e) of Section 1.97 and the fee set forth in § 1.17(p). In accordance with 1.97(e)(2), no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to the undersigned more than three months prior to the filing of the information disclosure statement.

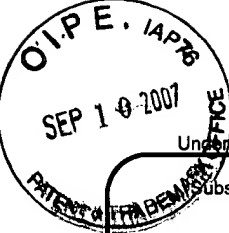
The Examiner is requested to make these references of official record in this application. The references cited may be material to examination of the application and are submitted in compliance with Applicant's duty of disclosure as defined by 37 C.F.R. § 1.56.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application. The Commissioner is hereby authorized to charge any necessary fee to Account Number 06-0600. A copy of this statement is submitted in duplicate for this purpose.

Respectfully submitted,  
Marc VanHeyningen et al.

September 7, 2007

By:   
Tam Thanh Pham, Reg. No. 50,565  
Carr & Ferrell LLP  
2200 Geng Road  
Palo Alto, CA 94303  
TEL: (650) 812-3400  
FAX: (650) 812-3444



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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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|                        |                      |
|------------------------|----------------------|
| Application Number     | 09/783,146           |
| Filing Date            | February 13, 2001    |
| First Named Inventor   | Marc VanHeyningen    |
| Art Unit               | 2134                 |
| Examiner Name          | Christopher J. Brown |
| Attorney Docket Number | PA4405US             |

Sheet 1 of 1

## U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                    |                       | US- 6,351,539                            | 02-26-2002                     | Djakovic   |   |
|                    |                       | US- 5,673,319                            | 09-30-1997                     | Bellare et al.                                     |   |
|                    |                       | US- 6,125,186                            | 09-26-2000                     | Saito et al.                                       |   |
|                    |                       | US- 6,505,192                            | 01-07-2003                     | Godwin et al.                                      |   |
|                    |                       | US- 6,643,260                            | 11-04-2003                     | Kloth et al.                                       |   |
|                    |                       | US- 6,754,832                            | 06-22-2004                     | Godwin et al.                                      |   |
|                    |                       | US- 2002/0023209                         | 02-21-2002                     | Domstedt et al.                                    |   |
|                    |                       | US- 2004/0107286                         | 06-03-2004                     | Larson et al.                                      |   |
|                    |                       | US- 2003/0167403                         | 09-04-2003                     | McCurley et al.                                    |   |
|                    |                       | US-                                      |                                |  |   |
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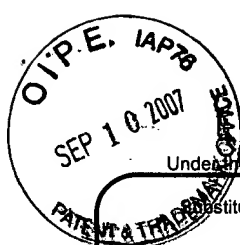
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Sheet 1 of 1

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| Art Unit               | 2134                 |
| Examiner Name          | Christopher J. Brown |
| Attorney Docket Number | PA4405US             |

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|                       |                          | US- 2003/0167403                         | 09-04-2003                     | McCurley et al.                                    |   |
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